



Attorney's Docket No.: 80398.P162

Patent

In re the Application of: David A. Desch

(inventor(s))

Application No.: 09/183,717

Filed: October 30, 1998

For: A System and Method For Providing Digital Video and Audio Broadcast

(title)

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

\_\_\_\_\_ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

\_\_\_\_\_ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

\_\_\_\_\_ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 52	Minus	** 40	12
Indep. Claims	* 7	Minus	*** 4	3
<input type="checkbox"/>	<b>First Presentation of Multiple Dependent Claim(s)</b>			

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY	
Rate	Additional Fee
X9	\$
X40	\$
+135	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 216.00
X80	\$ 240.00
+270	\$
Total Add. Fee	\$ 456.00

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on February 21, 2001  
Date of Deposit

Debbie Peloquin  
Name of Person Mailing Correspondence

Debbie Peloquin  
Signature

February 21, 2001  
Date

X A check in the amount of \$ 456.00 is attached for presentation of additional claim(s).  
X Applicant(s) hereby Petition(s) for a Two-Month Extension of Time pursuant to  
37 C.F.R. § 1.136(a).

X A check for \$ 390.00 is attached for processing fees under 37 C.F.R. § 1.17.  
Please charge my Deposit Account No. 02-2666 the amount of \$ \_\_\_\_\_.

**A duplicate copy of this sheet is enclosed.**

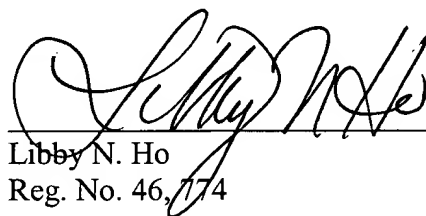
X The Commissioner of Patents and Trademarks is hereby authorized to charge payment  
of the following fees associated with this communication or credit any overpayment to  
Deposit Account No. 02-2666 **(a duplicate copy of this sheet is enclosed):**

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation  
of extra claims.

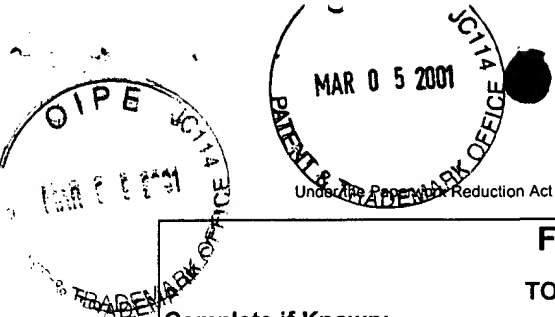
X Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: February 21, 2001

  
\_\_\_\_\_  
Libby N. Ho  
Reg. No. 46,774

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(303) 740-1980

**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** 1026.00**Complete if Known:****Application No.** 09/183,717**Filing Date** October 30, 1998**First Named Inventor** David A. Desch**Group Art Unit** 2711**Examiner Name** Grant, C.**Attorney Docket No.** 80398.P162**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

**Deposit Account Number** 02-2666**Deposit Account Name** \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
Credit Card  
Money Order  
Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	710	201	355	Utility application filing fee	_____
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____

**SUBTOTAL (1) \$** \_\_\_\_\_**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	_____	- 20** = _____	X _____	= _____
Independent Claims	_____	- 3** = _____	X _____	= _____
Multiple Dependent	_____		_____	= _____

**\*\*Or number previously paid, if greater; For Reissues, see below.**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	0.00
102	80	202	40	Independent claims in excess of 3	0.00
104	270	204	135	Multiple dependent claim, if not paid	0.00
109	80	209	40	**Reissue independent claims over original patent	0.00
110	18	210	9	**Reissue claims in excess of 20 and over original patent	0.00

**SUBTOTAL (2) \$** \_\_\_\_\_**FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	390.00
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)		<u>Presentation of additional claims</u>			456.00
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Libby M. Ho  
 Signature: [Signature] Date: February 21, 2001  
 Reg. Number: 46,774 Telephone Number: 303-740-1980

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FIRST CLASS CERTIFICATE OF MAILING (37 C.F.R. § 1.8 (a))

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